FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV. 10-2003) Wuesthoff-4 (9A-93 052) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 Not yet assigned INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP02/14504 18 December 2002 (18/12/02) 16 January 2002 (16/01/02) TITLE OF INVENTION DEVICE AND METHOD FOR THE OPTICAL EXCITATION OF LASERACTIVE CRYSTALS WITH POLARISATION-DEPENDENT ABSORPTION APPLICANT(S) FOR DO/EO/US Ralf KNAPPE, Achim NEBEL Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔯 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🖾 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. l A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: PCT Int'l Preliminary Exam Report, Request for PCT Int'l Preliminary Exam 20. Report, PCT International Search Report (7 pgs.), PCT Request (4 pgs.), title page of WO 03/061085A1 (Int'l Application as published), PCT Notification of Receipt of Record Copy, PCT Notification Concerning Submission of Transmittal of Priority Document, PCT Information Concerning Elected Office Notified of Their Election, and PCT Notice Informing the Applicant of the Communication of the International Application to the Designated Offices

DT09 Rec'd PCT/PTO 23 JUN 2004

U.S. APPLICATION NO. (If knowings of STCF/1, 5, 0 0 ONT (NATIONAL APPLICATION NO. Not yet assigned				ATTORNEY'S DOCKET NUMBER Wuesthoff-4 (9A-93 052)	
21. The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a)(1) - (5)):					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$920.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO					
but all claims did n	ninary examination fee (3 not satisfy provisions of P				
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 920.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 00.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	26 -20 =	6	x \$18.00	\$ 108.00	
Independent Claims	2 -3 =	0	x \$86.00	\$ 00.00	
MULTIPLE DEPEN	DENT CLAIM(S) (if app		+ \$290.00	\$ 00.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,028.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 00.00	
SUBTOTAL =				\$ 1,028.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE =				\$ 1,028.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 1,068.00	
			•	Amount to be	\$
				refunded:	\$
				charged:	1 2
a. A check in the amount of \$ 1,068.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
C. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1049. A duplicate copy of this sheet is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESP	ONDENCE TO:		M	chail UX	Track
Michael P. Straub			SIGNATU	RE	<u> </u>
STRAUB & POKOTYLO 620 Tinton Avenue Michael				P. Straub	
620 Tinton Avenue Bldg. B, 2 nd Floor			NAME		
Tinton Falls, NJ 077	24-3260		36,941		
Date: June 23, 2004 Express Mail No.: E				ATION NUMBER	